

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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### REQUEST FOR WITHDRAWAL OF HOLDING OF ABANDONMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is respectfully requested that the holding of abandonment set forth in the notice mailed December 14, 2004 be withdrawn as, in fact, there was no abandonment.

A timely response to the "Notice to Comply..." dated February 13, 2004 was submitted on March 2, 2004 and constituted a complete response to the notice of February 13, 2004. A copy of applicant's response filed March 2, 2004, together with a copy of the postcard receipt therefor is attached hereto.

On January 7, 2005, the undersigned discussed the holding of abandonment with examiner Jeffrey Parkin. Examiner Parkin acknowledged that a complete response, filed March 2, 2004 is present in the USPTO file. Examiner Parkin surmised that the response of March 2, 2004 was not completely and/or improperly scanned and that he

acted on what had been scanned rather than on applicants' response as filed.

Accordingly, it is respectfully requested that the captioned application be returned to pending status and be acted upon in due course.

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George A. Loud

Reg. No. 25,814

Dated: January 11, 2005

LORUSSO, LOUD & KELLY 3137 Mount Vernon Avenue Alexandria, VA 22305

(703) 739-9393



The "Received" Stamp of the USPTO imprinted hereon acknowledges the filing of:

Description of Paper: Response to Notice to Comply...; Sequence Listing in Paper and Computer Readable formats; Statement to Support Filing; and copy of Raw Sequence Listing Error Report

Name of Applicant/Patentee: Shozo SHOJI

U.S.S.N. 09/889,845





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:	OIPE	)
Shozo SHOJI	JAN 1 1 2005	) Examiner: Jeffrey PARKIN ) Art Unit: 1648
Serial No.: 09/889,845	THE SERVICE STATES	)
Filed: July 23, 2001	RADEMARK	)
For: CYCLIC PEPTIDI VACCINES	ES AND AIDS	)

# RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Responsive to the "Notice to Comply..." dated February 13, 2004, submitted herewith is a corrected Sequence Listing in both paper and computer readable forms, along with a statement to support the filing in accordance with 37 CFR 1.821-1.825. Please substitute the enclosed Sequence Listing for that previously filed.

A copy of the Raw Sequence Listing Error Summary is also submitted herewith.

Respectfully submitted

Reg. No. 25,814

Dated: March 2, 2004

LORUSSO & LOUD 3137 Mount Vernon Avenue Alexandria, VA 22305

(703) 739-9393



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: MIT-C102

In re patent application of

SHOJI, SHOZO

Serial No. 09/889,845

Filed: July 23, 2001

For: CYCLO DODECAPEPTIDE AND AIDS VACCINE

# STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Mail Stop SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

- the submission, filed herewith in accordance with 37
   C.F.R. § 1.821(g), does not include new matter;
- 2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same.

Respectfully submitted,

Date

HARBOR CONSULTING IP SERVICES, INC. 1500A Lafayette Road, #262 Portsmouth, N.H. 800-318-3021 James A. Coburn



### SEQUENCE LISTING

```
<110> SHOJI, SHOZO
<120> CYCLO DODECAPEPTIDE AND AIDS VACCINE
<130> MIT-C102
<140> 09/889,845
<141> 2001-07-23
<160> 3
<170> PatentIn Ver. 3.2
<210> 1
<211> 12
<212> PRT
<213> Artificial Sequence
<220>
<223> Description of Artificial Sequence: Synthetic
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<210> 2
<211> 5
<212> PRT
<213> Artificial Sequence
<220>
<223> Description of Artificial Sequence: Synthetic
     peptide
<400> 2
Glu Ala Asp Asp Arg
<210> 3
<211> 5
<212> PRT
<213> Artificial Sequence
<220>
<223> Description of Artificial Sequence: Synthetic
     peptide
<400> 3
Ser Gln Lys Glu Gly
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## Raw Sequence Listing Error Summary

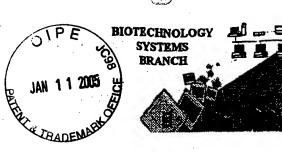
ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 09/889, 845
ATTN: NEW RULES CASES	: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWAR
IWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6Patentin 2.0 "bug"	A "bug" in Patentla version 2.0 has caused the <220><223> section to be missing from amino acid sequences(s) Normally, Patentla would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
(OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:  (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  This sequence is intentionally skipped
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to Include the skipped sequences.
(NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000
(NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-2223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses.  Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. Important
Patentin 2.0	(See "Federal Register," 0001/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)  Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence isting). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
•	'n" can only represent a single <u>nucleotide</u> ; "Xaa" can only represent a single <u>amino acid</u>



"NOV 1 0 2003

## TECH CENTER 1600/2900

# RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

	Application Serial Number:	09/889.845
Source:	Source:	1600 -
Date Processed by STIC: /1/b/03	Date Processed by STIC:	11/6/03

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 703-308-4212; FAX: 703-308-4221

<u>Effective 12/13/03</u>: TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.1 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkr41note.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry directly to (EFFECTIVE 12/01/03):
   U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1903-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 10/08/03

Deneneneuele,r,d,p,t,d,m,b,d ,k,h,r,s,h,m,f Joes H. Jumply <110> Nissui Pharmaceutical Co., Ltd. Currented Distrette Needer <120> Cyclo dodecapeptide and aids vaccine explain Ilu on 62237 leve. FJI: peptide "is insufficient. of. <130> NSM003422PCT <160> 3 Hunt No Comply genetic honor of Distatte Needer <211> 12 <212> PRE (213) Artificial Sequence eur issert a resporse de LZZOT. elt is a header <300> (301) Shozo Shoji (302) Synthesis of Cyclo-oligo peptide and its biological (ai) do you <301> Shozo Shoji 303> Japan Pharmacology Academy Kyushu branch Mass meeting Mean "activity"! Lecture summary collection <307> 1998-11-10 24007 1
2400> Arg Asp Asp Ala Glu Gly Glu Lys Gln Ser Asp Gly <306> 43 <del>- иенепепейенепепепене</del> 5<del>непепененепенене</del> 10 delete these invalid markers <211> 5 acid rumber <213> Artificial Sequence offland on (2237 line <220> peptide /40072 are aligned propert Jele (220) peptide /40072 Vo Lot use TAB <210> 3 explain on (2237 leve codes between <211> 5 <212> PBT <213> (Artificial Sequence) anis seid runter Deptide Ser Gln Lys Glu Gly 7640073

1) Corsult Sequence Ruler
for VALID format.
2) see tem 11 on Error Summary
Sheet.